

ETHICS ISSUES Checklist

INPhINIT respects fundamental ethics principles, including those reflected in the Charter of Fundamental Rights of the European Union¹ and the relevant ethics rules of H2020. These principles include the need to ensure the freedom of research and the need to protect the physical and moral integrity of individuals and the welfare of animals.

INPhINIT follows a bottom-up approach, thus it is not known in advance if the fellowships to be funded raise ethical issues. It is for this reason that "la Caixa" Foundation establishes a procedure to identify any potential ethics issues and to handle the ethics aspects raised.

As part of this procedure, "la Caixa" Foundation requires to any fellowship recipient to complete this Checklist in order to identify any potential ethics issue related to the funded research projects.

Please check the following table and answer each question with "YES" or "NO".

1. HUMAN EMBRYOS/FOETUSES	YES/NO
<i>Does your research involve Human Embryonic Stem Cells (hESCs)?</i>	
<i>Does your research involve the use of human embryos?</i>	
<i>Does your research involve the use of human fetal tissues / cells?</i>	
2. HUMANS	YES/NO
<i>Does your research involve human participants?</i>	
<i>Does your research involve physical interventions on the study participants?</i>	
<i>Does it involve invasive techniques?</i>	
3. HUMAN CELLS / TISSUES	YES/NO
<i>Does your research involve human cells or tissues?</i>	
4. PROTECTION OF PERSONAL DATA	YES/NO
<i>Does your research involve personal data collection and/or processing?</i>	
<i>Does your research involve further processing of previously collected personal data (secondary use)?</i>	

¹ Charter of Fundamental Rights of the European Union, 2000/C 364/01.
See also http://www.europarl.europa.eu/charter/default_en.htm



5. ANIMALS	YES/NO
<i>Does your research involve animals?</i>	
6. NON-EU COUNTRIES	YES/NO
<i>Does your research involve non-EU countries?</i>	
<i>Do you plan to use local resources (e.g. animal and/or human tissue samples, genetic material, live animals, human remains, materials of historical value, endangered fauna or flora samples, etc.)?</i>	
<i>Do you plan to import any material - including personal data - from non-EU countries into the EU?</i>	
<i>Do you plan to export any material - including personal data - from the EU to non-EU countries?</i>	
<i>Could the situation in the country put the individuals taking part in the research at risk?</i>	
<i>If your research involves low and/or lower middle income countries, are benefits-sharing measures foreseen?</i>	
7. ENVIRONMENT PROTECTION	YES/NO
<i>Does your research involve the use of elements that may cause harm to the environment, to animals or plants?</i>	
<i>Does your research deal with endangered fauna and/or flora and/or protected areas?</i>	
<i>Does your research involve the use of elements that may cause harm to humans, including research staff?</i>	
8. DUAL USE	YES/NO
<i>Does your research have the potential for military applications?</i>	
9. MISUSE	YES/NO
<i>Does your research have the potential for malevolent/criminal/terrorist abuse?</i>	
10. OTHER ETHICS ISSUES	YES/NO
<i>Are there any other ethics issues that should be taken into consideration? Please specify</i>	



Please complete the information below and sign the document.

Fellow personal data

Name:	
Surname:	
Host organisation:	
Project title:	
E-mail:	

Supervisor contact info

Name:	
Surname:	
Research group:	
Department:	
E-mail:	

We confirm that we have taken into account all ethics issues described above.

Date & Signature of the fellow:

Date & Signature of the supervisor:

